

Minutes of the Risk and Assurance Committee Meeting 27 March 2025

	Details of Meeting:	RISK AND ASSURANCE COMMITTEE MEETING HELD IN THE TŌTARA MEETING ROOM, WHAKATĀNE DISTRICT COUNCIL, COMMERCE STREET, WHAKATĀNE, ON THURSDAY 27 MARCH 2025, COMMENCING AT 9:00 AM.
	Present:	His Worship the Mayor Dr V Luca, S Henderson (Independent Chair), Deputy Mayor L Immink and Councillor T O'Brien <i>Via Teams:</i> Councillor W James and P Lucoli (Independent Member)
	In Attendance:	Councillors A Iles, G Dennis, T Boynton and N Rangiaho, and S Perdia (Chief Executive), B Gray (GM Finance & Commercial Services), D Bewley (GM Regulatory, Planning & Infrastructure), L Woolsey (GM Strategy and Growth), E Hatch (GM People & Partnerships), A Pickles (GM Community Experience), I McNiven (Procurement and Risk Manager), J Caverhill (Manager External Reporting), and S Duffy (Senior Governance Support Advisor)
	Visitors:	Andrew Carlson and Matthew Wilson (AON Insurance) K Stasiewicz (Strategic Advisor Contractor) <i>Via Teams:</i> Brett Johanson and Rajeev Verma (PWC)
	Apologies:	Councillor J Pullar, and Deputy Mayor Immink (for lateness)

The Chairperson invited Councillor O'Brien to open the meeting with a Karakia at 9:00 am.

1 MEETING NOTICES

Meeting participants were advised that the meeting was being live streamed, and the health and safety aspects relative to the meeting were explained.

2 APOLOGIES

Moved Councillor O'Brien / Seconded Mayor Luca

RESOLVED:

THAT the Council **accept** the apology from Councillor J Pullar and an apology for lateness from Deputy Mayor Immink.

CARRIED

3 ACKNOWLEDGEMENTS / TRIBUTES

Nil.

4 CONFLICTS OF INTEREST

Nil.

5 PUBLIC PARTICIPATION

No prior approval requests were made to the Chief Executive (or via the Governance team) for public participation at this meeting.

6 CONFIRMATION OF MINUTES

Refer to page 9 of the agenda.

The Action items were reviewed and agreed.

General Manager Finance & Commercial Services advised Action Item 2 was now in progress.

Attendance:

Councillor James joined the meeting online at 9:04 am.

Deputy Mayor Immink and Councillor Boynton entered the meeting at 9:05 am.

Moved Councillor O'Brien / Seconded Deputy Mayor Immink

RESOLVED:

THAT the minutes of the Risk and Assurance Committee meeting held on 29 November 2024 be **confirmed** as true and correct record.

CARRIED

7 REPORTS

7.1 Risk and Assurance Update Report

Refer to pages 11-63 of the agenda.

Moved Deputy Mayor Immink / Seconded Councillor O'Brien

RESOLVED:

THAT the Risk and Assurance Committee **receives** the Risk and Assurance Update March 2025 Report.

CARRIED

7.2 Internal Audit Update Report – March 2025

Refer pages 64-91 of agenda.

Steps have been initiated to address the high-risk action identified.

Actions:

- i) Emergency powers and funding to be incorporated into the planned risk briefing.
- ii) Confirmation digital connectivity is covered within the Emergency Response Plan.

Moved Chairperson Henderson / Seconded Deputy Mayor Immink

RESOLVED:

THAT the Risk and Assurance Committee **receives** the Internal Audit March 2025 Report.

CARRIED

Adjournment: The meeting adjourned at 9:35 am and reconvened at 9:47 am.

Attendance:

Mr Johanson and Mr Verma (PWC) joined the meeting at 9:47 am.
GM Regulatory, Planning & Infrastructure left the meeting 9:47 am.

7.2.1 Presentation from PWC

Refer to pages 19 of the agenda and pages 63 a-f of the tabled items.

Attendance:

GM Community Experience and GM Strategy and Growth re-entered the meeting at 9:50 am.

Mr. Johanson and Mr. Verma introduced themselves and spoke of their professional roles and contributions to WDC. Mr Johanson then spoke to the PowerPoint Presentation, which covered an update on Treasury Management.

Attendance: Mr Johanson (PWC) left the meeting at 10:01 am.

Mr Verma continued with the presentation, including an explanation of the Treasury Risk Framework and interest rate chart tracking the OCR (Official Cash Rates) and BKBM (90-day bank bill rate).

Attendance:

Procurement and Risk Manager left the meeting at 10:05 am.

GM People and Partnership left the meeting at 10:09 am.

Mr Johanson (PWC) rejoined the meeting at 10:17 am.

Mr Johanson spoke to Treasury Risks and answered questions of clarification from Elected Members.

Attendance:

Regulatory, Planning & Infrastructure entered the meeting at 10:23 am.

Mr Carlson and Mr Wilson (AON Insurance) entered the meeting at 10:27 am.

GM Community Experience left the meeting at 10:28 am.

Councillor Iles left the meeting at 10:30 am and returned at 10:34 am.

GM Strategy and Growth left the meeting at 10:39 am.

Mr Johanson and Mr Verma (PWC) left the meeting at 10:53 am.

7.3 Insurance Policy Report

Refer to pages 92-99 of the agenda.

Manager External Reporting thanked AON representatives for their attendance and highlighted that the paper reported information regarding insurance policies, premiums, declared values and increases on policies.

7.3.1 Presentation from AON Insurance

Refer to pages 99 a-e of the tabled items.

Andrew Carlson and Matthew Wilson from AON Insurance presented and the following points were noted:

- It had been a 'hard market' since 2017; however, the insurance market had shifted rapidly, and with the transition to a soft market, insurance rates were decreasing.
- While global events could have an impact, the NZ market did not present significant risks or challenges, as insurance coverage was not linked to the US market.
- Within Local Authorities, 70% of claims were initiated from the building act/consenting area.

Attendance: Councillor Rangiaho left the meeting at 11:16 am and re-entered at 11:19 am.

- The top 12 risks of 2023 in the Public Sector encompassed a diverse range of areas.
- AON would provide further information on cyber breach insurance.

Attendance:

Ms Stasiewicz entered the meeting at 11:42 am.

The Chief Executive and General Manager Strategy and Growth left the meeting at 11:44 am.

Mr Carlson and Mr Wilson left the meeting at 11:46 am.

The Chief Executive re-entered the meeting at 11:46 am.

7.3.2 Insurance Policy Report

Moved Chairperson Henderson / Seconded Deputy Mayor Immink

RESOLVED:

THAT the Risk and Assurance Committee **receives** the Insurance Policy Report for 2024/25.

CARRIED

8 Resolution to Exclude the Public

Refer pages 100 -101 of agenda.

Moved Councillor O'Brien / Seconded Deputy Mayor Immink

RESOLVED:

THAT the public be **excluded** from the following parts of the proceedings of this meeting, namely:

1. Confirmation of Risk and Assurance Committee Public Excluded Minutes 19 August 2024
2. Legal Proceedings Report November 2024
3. Boat Harbour Project Update November 2024

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

	General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
1.	Confirmation of Risk and Assurance Committee Public Excluded Minutes 19 August 2024	Good reason to withhold exists under Section 7.	That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists. Section 48(1)(a)
2.	Legal Proceedings Report November 2024	Good reason to withhold exists under Section 7.	That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists. Section 48(1)(a)

3.	Boat Harbour Project Update November 2024	Good reason to withhold exists under Section 7.	That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists. Section 48(1)(a)
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This resolution is made in reliance on sections 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 7 of that Act, which would be prejudiced by the holding of the relevant part of the proceedings of the meeting in public are as follows:

Item No	Interest
1, 2	Maintain legal professional privilege (Schedule 7(2)(g))
1, 2	Protect the privacy of natural persons, including that of deceased natural persons (Schedule 7(2)(a))
1, 3	To enable any local authority holding the information to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations) (Schedule 7(2)(a))

CARRIED

Attendance: Councillor Dennis left the meeting at 11:49 am.

The meeting moved to public excluded at 11:49 am returned to the public meeting 12:48pm.

The Chairperson then restated the following resolutions made within the Public Excluded section of the meeting:

1. THAT the Legal Advice report be **received**; and
2. THAT the Risk and Assurance Committee **confirms** that the public be excluded from consideration of the Legal Advice report on the grounds set out in the Local Government Official Information and Meetings Act 1987 under Section 48(1)(a)(i) Section 7 (2)(g) as withholding the information is necessary to maintain legal professional privilege.

COUNCILLOR O'BRIEN GAVE A CLOSING KARAKIA AND THE MEETING CLOSED AT 12:50 PM.

Confirmed at the meeting dated:
CHAIRPERSON

[You Tube link to Risk and Assurance Committee meeting 27 March 2027](#)